

10/565615

Express Mail Label No. EV 851563965 US

Attorney Docket No. LASP:130US

IAP20 Rec'd PCT/PTO 24 JAN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT COOPERATION TREATY

Applicant(s): Werner KNEBEL                      Attorney Ref.: 293LASP:130US  
Serial No.: Unknown                      Group Art Unit: Unknown  
Filing Date: Unknown                      Examiner: Unknown  
Title: SCANNING MICROSCOPE

International Application No.: PCT/EP2004/051606

International Filing Date: July 26, 2004

SUBSTITUTE SPECIFICATION – MARKED VERSION  
37 CFR 1.125 (b and c)

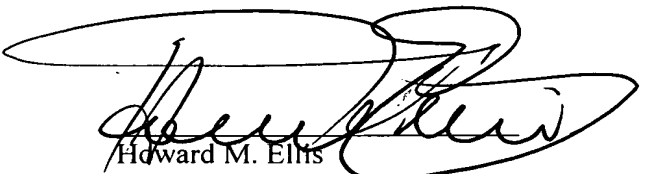
Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is to certify the attached "Substitute Specification", Marked Version is in compliance with the requirements under 37 CFR 1.125(b and c) and contains no new matter.

Respectfully submitted,

January 24/2006

  
Howard M. Ellis  
Attorney of Record  
Registration No. 25856  
(716)626-1564

IAP20 Rec'd PCT/PTO 24 JAN 2006

**SCANNING MICROSCOPE****Cross Reference to Related Application**

This application claims the benefit of International Application PCT/EP2004/051606, filed  
5 July 26, 2004, which claims priority from German Application 10334145.5, July 26, 2003.

**Technical Field**

The invention relates to a scanning microscope with at least one light source defining an  
illumination light beam, and with a spectral detector for detecting the detection light coming  
10 from the sample and defining a detection light beam, and which contains a spectral splitter  
component.

**Background of the Invention**

In scanning microscopy a sample is illuminated with a light beam to be able to observe  
the reflected light or fluorescent light emitted by the sample. The focus of an illumination light  
15 beam is moved in an objective plane with the aid of a controllable beam deflection device,  
generally by tilting two mirrors, the deflection axes in most cases being perpendicular to one  
another so that one mirror deflects the light in the X-direction and the other mirror deflects it in  
the Y-direction. The tilting of the mirrors is brought about with the aid of, for example,  
galvanometer positioning elements. The power of the light coming from the object is measured  
20 as a function of the position of the scanning beam. Usually, the positioning elements are  
equipped with sensors for determining the current mirror position.

In confocal scanning microscopy, in particular, an object is scanned in three dimensions  
with the focus of a light beam.

25 A confocal scanning microscope comprises in general a light source, focusing optics  
with which the light from the source is focused on a pinhole known as the excitation pinhole, a  
beam splitter, a beam deflection device for beam control, microscope optics, a detection  
aperture and the detectors for detecting the detecting light and the fluorescent light. The  
30 illumination light is often coupled in via a beam splitter configured, for example, as a neutral  
beam splitter or as a dichroic beam splitter. Neutral beam splitters have the drawback that much

excitation light or much detection light is lost, depending on the splitting ratio.

5 The fluorescent light or the reflected light coming from the object proceeds via the beam deflection device back to the beam splitter, passes said beam splitter and is then focused on the detection aperture behind which the detectors are located. Detection light that does not stem directly from the focal region takes another pathway and does not pass through the detection aperture so that point information is obtained which by sequential scanning of the object leads to a tridimensional image. In most cases, a tridimensional image is obtained by layerwise image data acquisition, with the path of the scanning light beam ideally describing a meander on or in the object. (Scan one line in the X-direction at constant Y-position, then maintain the X-scanning and by Y-displacement switch to the next line to be scanned, and then, at a constant Y-position, scan this line in the negative X-direction etc). To permit image data acquisition in layers, after the scanning of a layer the specimen stage or the objective is displaced and the next layer to be scanned is brought into the focal plane of the objective.

15 In many applications, the samples are prepared with several markers, for example with several different fluorescent dyes. These dyes can be excited sequentially, for example with illumination light beams having different excitation wavelengths. Simultaneous excitation with an illumination light beam containing light of different excitation wavelengths is common. For example, European patent application EP 0 495 930, entitled "Confocal Microscope System for Multicolor Fluorescence", discloses an arrangement with a single laser emitting several laser lines. In current practice, most such lasers are mixed-gas lasers, particularly Ar-Kr lasers.

25 Multiband detectors are often used for the simultaneous detection of the detection light coming from the sample. ~~From~~ Unexamined German Patent Application DE 4330347 A1 is ~~known~~ discloses a system for the selection and detection of at least two spectral ranges of a light beam with a selection device and a detection device. For the purpose of reliable simultaneous selection and detection of different spectral ranges with high yields and to ensure very simple construction, the system is configured in such a way that the selection device comprises a component for spectral splitting of the light beam - for example a prism or a grating - and means for, on the one hand, blocking out a first spectral range and, on the other, to reflect at least part

of the non-blocked out spectral range, and the detection device comprises a first detector disposed in the light beam path of the blocked-out first spectral range and a second detector in the light beam path of the reflected spectral range. The means for blocking out a first spectral range and, on the other hand, to reflect at least part of the non-blocked-out spectral range is preferably a strip aperture device with mirrored aperture sides. In particular, the system can be used as a multiband detector in a scanning microscope.

~~From~~ German Unexamined Patent Application DE 198 42 288 A1 ~~is known~~ discloses a system for adjustable coupling-in and/or detection of one or more wavelengths in a microscope.

The system consists of at least one dispersive element for wavelength separation of the illumination light and of at least one partly reflective element for back-reflecting at least one wavelength range in the direction of the microscope illumination, said reflective element being disposed in the wavelength-separated part of the illumination light. In addition, for adjustable detection in the wavelength-separated part of the object light there are provided means for adjustable blocking out at least one wavelength range and means for deflecting the blocked-out wavelength range in the direction of a detector. Last but not least, because of the variability relative to the wavelength range to be blocked out, the construction of the system is expensive and complicated.

~~From~~ German patent document DE 195 10 102 C1 ~~is known~~ discloses a semi-confocal fluorescence microscope wherein the illumination light from a light source is spectrally split with the aid of a spectrometer system and is guided to a sample via a wavelength selection aperture and another spectrometer system for strip-shaped illumination. The detection light coming from the sample is spectrally separated by the other spectrometer system and is guided to a detector system via a wavelength selection aperture and a third spectrometer system after passing through a strip aperture. The wavelength selection aperture is slidably disposed for the purpose of setting the wavelength ranges in question. Because of the fact that at least three spectrometer systems are needed, in particular, this arrangement is expensive to fabricate and difficult to adjust.

**Summary of the Invention**

It is ~~the~~ an object of the present invention to ~~propose~~ provide a scanning microscope, which while simple to fabricate permits both the in-coupling of the illumination light preferably of several wavelengths and ~~the~~ detection of the detection light in several wavelength ranges.

5

This object is achieved by way of a scanning microscope characterized in that the spectral splitter component separates the illumination beam and the detection beam.

10 The invention has the advantage that a component present in many types of devices, namely the component for spectrally splitting the detection light, is used at the same time for in-coupling the illumination light, as a result of which the use of other expensive components complicating the beam path are to a large extent avoided. Advantageously, in the scanning microscope of the invention the spectral splitter component takes over the function of the main beam separator, namely the separation of the illumination beam and the detection beam, so that  
15 the problems arising in main beam separators based on neutral beam separators, namely the enormous losses of light power, do not occur.

In a particular embodiment, the spectral splitter component is configured as a grating which, on the one hand, receives the illumination light guiding it further to the sample and, on  
20 the other, spectrally splits the light coming from the sample by diffraction and guides it to the detectors.

In a preferred embodiment of the scanning microscope, the spectral splitter component is configured as a prism.

25

Preferably, one boundary surface of the prism is at least partly reflectively coated. In a particular embodiment, the detection light to be spectrally split passes through the prism and in so doing is reflected at the reflectively coated parts of the boundary surface. Next to or between the reflectively coated parts of the boundary surface, there is provided ~~no~~ without an  
30 antireflective coating or preferably one such coating whereby the illumination light is coupled in.

In another variant, the illumination light strikes the reflectively coated parts of the boundary surface while the detection light strikes the uncoated or non-reflectively coated parts of the boundary surface.

5

In a preferred embodiment, the reflectively coated parts of the boundary surface and the ~~not~~ non-reflectively coated parts of the boundary surface are disposed alternatively next to each other. According to the invention, here use is made of the fact that because of the Stokes shift, in the case of fluorescing samples the wavelength of the illumination light is spectrally shifted toward the detection light wavelength, for example by displacement of the prism along the boundary surface and perpendicular to the splitting direction of the detection light, making it possible to set the illumination/detection wavelength ranges.

10

In a particularly preferred embodiment, to achieve a particularly stable, compact and simple design, the spectral splitter component is disposed in stationary manner. As a result, the number and the wavelength of the illumination lines to be used are unchangeable which, however, is sufficient for most microscopic uses.

15

By shifting the illumination light beam or beams relative to the coated or uncoated parts of the boundary surface, light power control can readily be accomplished. To this end, the illumination light beam or beams are laterally cut.

20

Preferably, the parts of the boundary surface through which the illumination light is coupled in are about 100  $\mu\text{m}$  wide. The ratio of the widths of the parts of the boundary surface through which the detection light reaches the detectors to the parts of the boundary surface through which the illumination light is coupled in is preferably as large as possible to prevent small gaps in the detection spectrum.

25

In another particularly preferred embodiment variant, either the detection light or the illumination light is totally internally reflected. In this variant, no reflective coating is needed. To this end, the boundary surface of the prism is preferably structured in a stepped or sawtooth

30

manner so that, for example, the detection light strikes the boundary surface at an angle causing total internal reflection, whereas the illumination light strikes at an angle that permits transmission. The opposite is, of course, also possible, namely that the illumination light is totally internally reflected, while the detection light is transmitted.

5

In another variant, the boundary surface is provided with segments made of a material with a refractive index different from that of the prism. A configuration is thus created in a simple manner in which regions of the boundary surface with total internal reflection and regions not showing total internal reflection are disposed side by side.

10

In a preferred embodiment, the illumination light contains, for example, three selected laser lines (for example, 488 nm, 560 nm and 633 nm or any other combination which preferably is definitely predetermined). These lines are coupled into the prism through the coated boundary surface at an appropriate angle in a manner collinear with the detection light beam. The illumination light beam path then proceeds in the opposite direction, passes the illumination and detection pinhole (which in this variant are identical), reaches the beam deflection unit and is guided via the tube lens and scanning lens through the object to the sample.

15

20

Illumination light with four or more laser lines can also be used which is not possible, for example, with scanning microscopes based on beam splitters. This is because there are currently no multichroic beam splitters whereby illumination light with four or more laser lines can be simultaneously coupled into a microscope.

25

According to the invention, the coupling-in of illumination light with a wavelength of 532 nm is advantageously also made possible, which is also not possible with current beam splitters because the 532 nm line is too close to the Ar lines.

30

Preferably, the spatial width of the split detection light at the boundary surface is about 1 cm which corresponds to a spectral width of about 400 nm. Hence, by the 100- $\mu$ m-wide uncoated or anti-reflectively coated coupling-in, slits of only 4 nm are cut out from the detection

spectrum, which is acceptable for most uses. Moreover, reflected illumination light returns through the same boundary surface back to the laser, and is thus advantageously coupled out of the detection light beam.

5 Also conceivable is the use of other prisms or other types of prisms in which the spatial spectral splitting at the boundary surface is greater so that the illumination light does not have to be focused so strongly onto the nonreflecting parts, namely the coupling-in slits of the boundary surface.

10 In a particularly preferred embodiment, the scanning microscope is configured as a confocal scanning microscope.

#### **Brief Description of the Drawings**

In the drawings, the object of the invention is represented schematically and in the  
15 following is de-scribed with reference to the figures in which components exerting the same action are indicated by the same reference numerals and of which:

Fig. 1 shows a scanning microscope of the invention,

20 Fig. 2 is a detailed view of a scanning microscope of the invention,

Fig. 3 is a detailed view of a spectral splitter component, and

Fig. 4 is a detailed view of another spectral splitter component.

25

#### **Description of the Preferred Embodiments**

Fig. 1 is a scanning microscope with a first light source 1 emitting a first illumination light beam 3 having a wavelength of 488 nm and with a second light source 5 emitting a second illumination light beam 7 having a wavelength of 560 nm and with a third light source 9  
30 emitting a third illumination light beam 11 having a wavelength of 633 nm. Illumination light beams 3, 7 and 11 (indicated by broken lines) strike the partly coated boundary surface 13 of the



spectral splitter component 15 configured as a prism 17. Boundary surface 13 of prism 17 has reflecting and nonreflecting regions. Illumination light beams 3, 7 and 11 strike the nonreflecting regions between the reflecting regions so that the illumination light beams 3, 7 and 11 are transmitted into the prism and after emerging through another boundary surface of the prism and after passing field lens 19 are collinearly combined by pinhole 21 to reach beam deflection unit 23 which contains a cardanically suspended scanning mirror 25. Beam deflection unit 23 guides the collinearly combined illumination light beams 3, 7 and 11 through scanning lens 27, tube lens 29 and objective 31 and then through or over sample 33. Detection light 35 coming from the sample proceeds along the same light path, namely through objective 31, tube lens 29, scanning lens 27 and via beam deflection unit 23 back to pinhole 21, passes through it and after proceeding through field lens 19 is spatially spectrally split by prism 17. When passing through prism 17, the detection light is internally reflected by the reflectively coated parts of boundary surface 13 and as a spatially spectrally split beam leaves prism 17 through a third boundary surface to arrive at the detectors which are not shown.

Fig. 2 is a detailed view of the scanning microscope of the invention represented in Fig. 1. Boundary surface 13 of the prism has reflecting regions 37 where the detection light is internally reflected, and antireflectively coated regions 39 through which the illumination light beams 3, 7 and 11 are coupled in. In this configuration, pinhole 21 serves both as illumination and detection pinhole.

Fig. 3 is a detailed lateral view of the spectral splitter component 15 and of the coated boundary surface 13. Disposed on boundary surface 13 in alternating manner are reflecting regions 37 and antireflectively coated non-reflecting regions 39. The width of the non-reflecting regions 39 is exaggerated relative to the width of the reflecting regions. To prevent large gaps in the detection spectrum, the width of the non-reflecting regions amounts to only fractions of a millimeter, whereas the width of the reflecting regions is in the range of fractions of a centimeter.

Fig. 4 is a detailed view of another spectral splitter component, also in the form of a prism. Boundary surface 13 has a sawtooth-like structure 41. In this variant, the detection light

is totally internally reflected whereas the illumination light passes through boundary surface 13 at sites where because of the sawtooth structure there is no the limiting angle of total reflection.

The invention was described with reference to a particular exemplary embodiment. It is self evident, however, that modifications and changes can be made without thereby leaving the range of protection of the following claims.

#### **List of Reference Numerals**

- |    |     |                                 |
|----|-----|---------------------------------|
| 10 | 1.  | first light source              |
|    | 3.  | first illumination light beam   |
|    | 5.  | second light source             |
|    | 7.  | second illumination light beam  |
|    | 9.  | third light source              |
| 15 | 11. | third illumination light beam   |
|    | 13  | boundary surface                |
|    | 15. | spectral splitter component     |
|    | 17. | prism                           |
|    | 19. | field lens                      |
| 20 | 21. | pinhole                         |
|    | 23. | beam deflection unit            |
|    | 25. | scanning mirror                 |
|    | 27. | scanning lens                   |
|    | 29. | tube lens                       |
| 25 | 31. | objective                       |
|    | 33. | sample                          |
|    | 35. | detection light                 |
|    | 37. | reflecting regions              |
|    | 39. | antireflectively coated regions |
| 30 | 41. | sawtooth structure              |

I Claim:

- 5 1. Scanning microscope with at least one light source defining an illumination beam path and with a spectral detector for detecting the detection light coming from the sample and defining a detection beam path, said scanning microscope containing a spectral splitter component, characterized in that the spectral splitter component separates the illumination beam and the detection beam.
- 10 2. Scanning microscope as defined in claim 1, characterized in that the spectral splitter component contains a grating.
3. Scanning microscope as defined in claim 1, characterized in that the spectral splitter
- 15 component contains a prism.
4. Scanning microscope as defined in claim 1, characterized in that a boundary surface of the prism is reflectively coated at least in part.
- 20 5. Scanning microscope as defined in claim 3 or 4, characterized in that the boundary surface reflects internally the detection light or the illumination light.
6. Scanning microscope as defined in one of claims 3 to 5, characterized in that the boundary surface transmits the illumination light or the detection light.
- 25 7. Scanning microscope as defined in one of claims 4 to 6, characterized in that the detection light strikes the reflectively coated parts of the boundary surface and that the illumination light strikes the non-reflectively coated parts of the boundary surface.
- 30 8. Scanning microscope as defined in one of claims 4 to 7, characterized in that the illumination light strikes the reflectively coated parts of the boundary surface and that the

detection light strikes the non-reflectively coated parts of the boundary surface.

9. Scanning microscope as defined in claim 4, characterized in that the non-reflectively coated parts of the boundary surface are provided with an antireflective coating.

5

10. Scanning microscope as defined in one of claims 4 to 9, characterized in that reflectively coated parts of the boundary surface and non-reflectively coated parts of the boundary surface are disposed alternately next to each other.

10 11. Scanning microscope as defined in one of claims 4 to 6, characterized in that the boundary surface is covered with a dichroic coating.

12. Scanning microscope as defined in claim 3, characterized in that one boundary surface of the prism is structured in stepped or sawtooth form.

15

13. Scanning microscope as defined in one of claims 3 to 12, characterized in that the boundary surface totally reflects the detection light or the illumination light internally.

14. Scanning microscope as defined in claim 12 or 13, characterized in that totally internally reflecting parts of the boundary surface and not totally internally reflecting parts of the boundary surface are disposed alternately next to each other.

20

15. Scanning microscope as defined in claim 13 or 14, characterized in that one boundary surface is provided with segments made of a material the refractive index of which is different from that of the prism.

25

16. Scanning microscope as defined in one of claims 1 to 15, characterized in that the scanning microscope is a confocal scanning microscope.

**Abstract:**

5     The invention relates to a scanning microscope with a light source defining an  
10    illumination light beam, and a spectral detector for detection of the detection light coming  
15    from the sample, which defines a detection beam and which contains a spectral splitter  
20    component. The scanning microscope is characterized in that the spectral splitting  
25    component separates the illumination and the detection beams.  
30

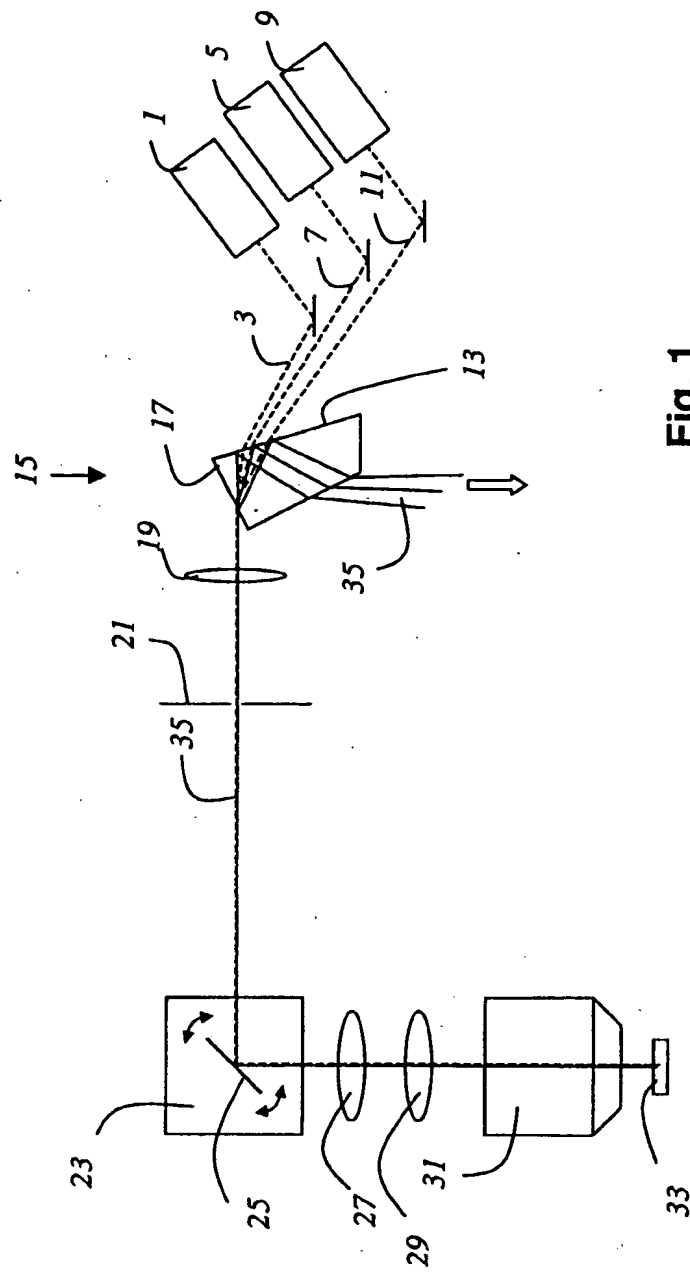


Fig. 1

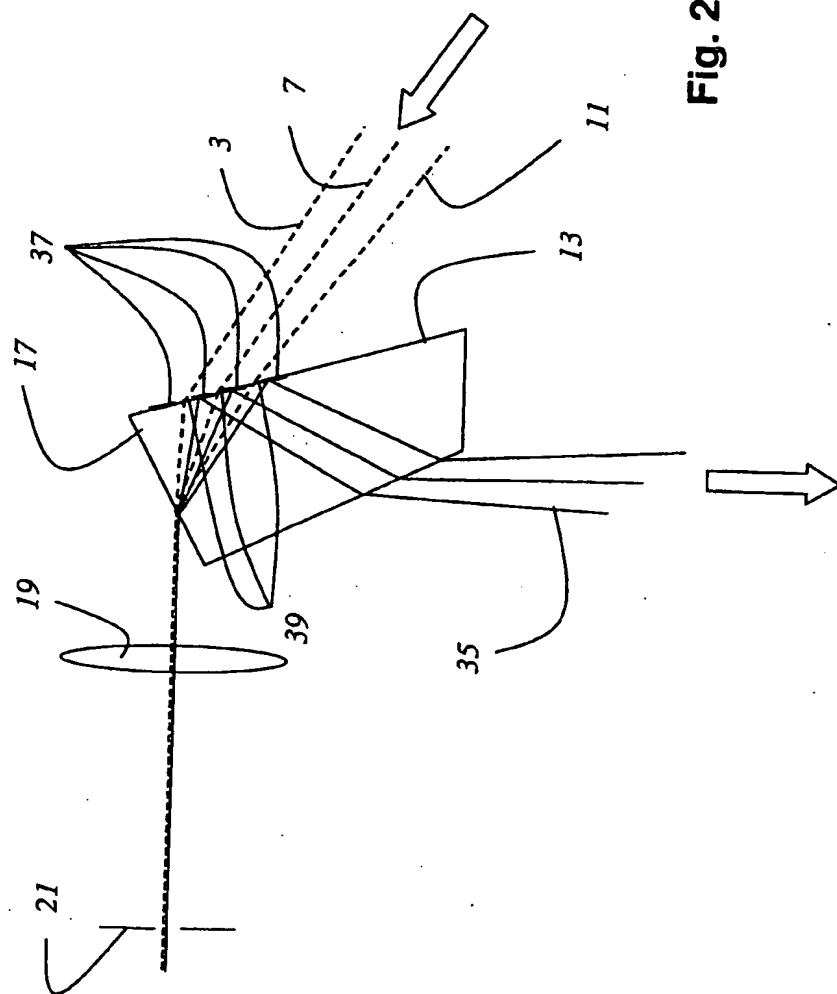


Fig. 2

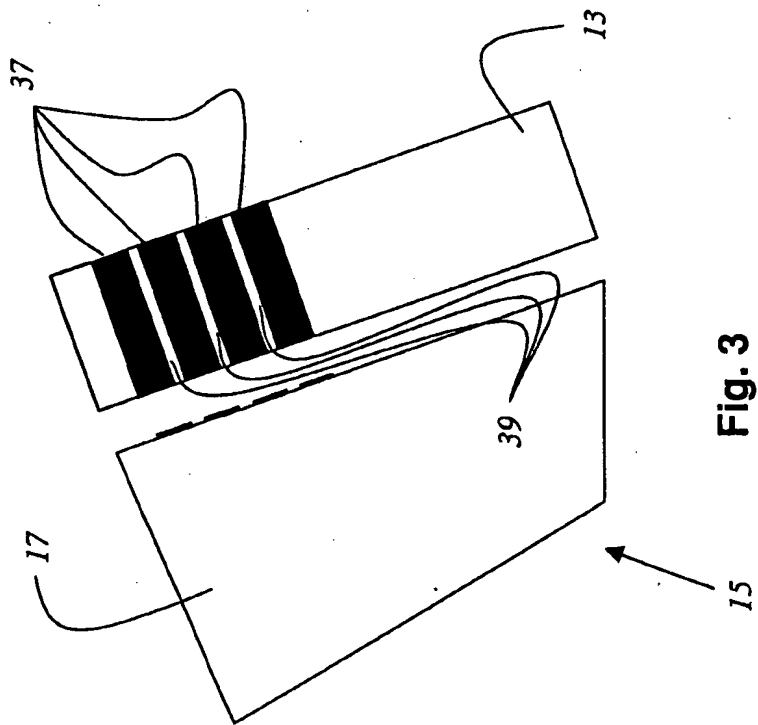


Fig. 3



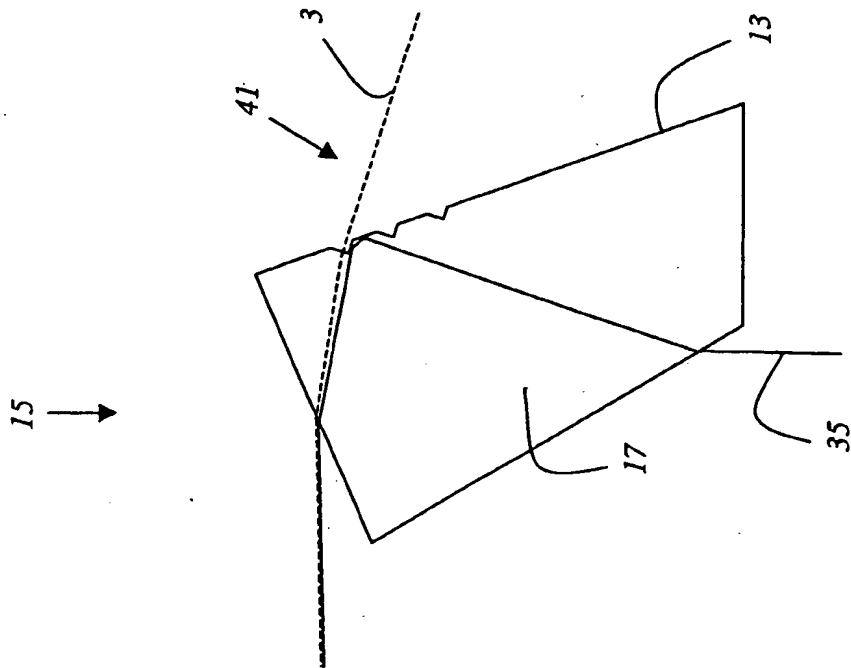


Fig. 4